

PTO-1449 REPRODUCED

ATTORNEY DOCKET NO.
3023.1001-004APPLICATION NO.
10/014,317INFORMATION DISCLOSURE CITATION
IN AN APPLICATIONAPPLICANT
Nabil A. Abu El AtaFILING DATE
October 26, 2001GROUP
2123

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JUL 22 2002

JUL 18 2002
June 26, 2002

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

Technology Center 2100

EXAM- INER INI- TIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
KM	AA	5,146,591	09/08/92	Bachman, et al.	395	600	
	AB	5,193,183	03/09/93	Bachman	395	600	
	AC	5,195,178	03/16/93	Krieger, et al.	395	157	
	AD	5,241,645	08/31/93	Cimral, et al.	395	500	
	AE	5,276,877	01/94	Friedrich, et al.	709	105	
	AF	5,446,874	08/95	Waclawsky, et al.	714	1	
	AG	5,486,995	01/96	Krist, et al.	364	149	
	AH	5,522,014	05/96	Clark, et al.	706	45	
	AI	5,680,590	10/21/97	Parti	395	500	
	AJ	5,724,556	03/03/98	Souder, et al.	395	500	
	AK	5,724,569	03/03/98	Andres	395	602	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO
	AL						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

KM	AR	"BMC Software BEST/1," http://www.bgs.com (Updated: April 10, 1998), 1 pg.
	AS	"About BEST/1," http://www.bgs.com/bgs.htm (Printed Out: May 21, 1998) 1 pg.
	AT	"BMC Software to Acquire BGS Systems," http://www.bgs.com/bmcbgs.htm (Printed Out: May 21, 1998), 3 pgs.
	AU	"Performance and Capacity Management, BEST/1-Visualizer for AS/400," http://www.bgs.com/as400/as4_home.htm (Printed: May 21, 1998), 2 pgs.
	AV	"BEST/1-Visualizer for AS/400, Product Description," http://www.bgs.com/as400/Slid_2.html (Printed Out: May 21, 1998), 2 pgs.
	AW	"BEST/1-Visualizer for AS/400, Bottleneck Detection and Analysis," http://www.bgs.com/as400/Slid_3.html (Updated: November 22, 1996), 2 pgs.
	AX	"BEST/1-Visualizer for AS/400, Threshold Exception Reporting," http://www.bgs.com/as400/Slid_4.html (Updated: January 23, 1997), 2 pgs.

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EXAM- INER INI- TIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIA TE
KAN	AA2	5,726,914	3/98	Janovski, et al.	702	84	
	AB2	5,771,370	6/98	Klein	395	500.34	
	AC2	5,790,789	8/98	Suarez	709	202	
	AD2	5,801,958	9/98	Dangelo, et al.	364	489	
	AE2	5,809,282	9/98	Cooper, et al.	709	226	
	AF2	5,881,268	3/99	McDonald, et al.	395	500.44	
	AG2	5,893,074	4/99	Hughes, et al.	705	8	
	AH2	5,953,707	9/99	Huang, et al.	705	10	
	AI2	5,958,009	9/99	Friedrich, et al.	709	224	
	AJ2	5,984,511	11/99	Vasey-Glandon, et al.	364	512	
	AK2	5,999,734	12/99	Willis, et al.	395	706	

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATI ON YES NO
	AL						

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KAN	AY	"BEST/1-Visualizer for AS/400, Track and Trend Long-term Performance," http://www.bqs.com/as400/Slid_6.html (Printed Out: May 21, 1998) 2 pgs.					
	AZ	"BEST/1-Visualizer for AS/400, CPU/System Graphs," http://www.bqs.com/as400/Slid_8.html (Updated: November 29, 1996, 2 pgs.					
	AR2	"BEST/1-Visualizer for AS/400, I/O Subsystem Graphs," http://www.bqs.com/as400/Slid_9.html (Updated: November 30, 1996), 2 pgs.					
	AS2	"BEST/1-Visualizer for AS/400, Storage Pool Graphs," http://www.bqs.com/as400/Slid_11.html (Updated: November 22, 1996), 2 pgs.					
	AT2	"BEST/1-Visualizer for AS/400, Communication Line Graphs," http://www.bqs.com/as400/Slid_12.html (Updated: November 30, 1996), 2 pgs.					
	AU2	"BEST/1-Visualizer for AZ/400, Job Graphs," http://www.bqs.com/as400/Slid_13.html (Updated: January 22, 1997) 2 pgs.					

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EXAM- INER INI- TIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRI ATE
KAM	AA3	6,003,079	12/14/99	Friedrich, et al.	709	224	
	AB3	6,009,256	12/28/99	Tseng, et al.	395	500.34	
	AC3	6,038,540	3/14/00	Krist, et al.	705	8	

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KAM	AV2	"The Portable Simulation Initiative and the Common Modeling Framework," http://www.ses.com/psi-cmf.html (Updated: April 3, 1998), 3 pgs.					
	AW2	"SES - - Products and Services: Why Simulate?" http://www.ses.com/whysimulate.html (Updated: April 2, 1998), 7 pgs. from <u>Capacity Management Review</u> , Vol. 36, No. 2, February, 1998.					
	AX2	"SES in the Press," http://www.ses.com/news/html (Updated: April 8, 1998), 3 pgs.					
	AY2	"SES Inc. Announces Release of Strategizer," http://www.ses.com/pr_archive/010297.html (Updated: July 14, 1997, 2 pgs.					
	AZ2	"SES Releases SES/Workbench 3.1," http://www.ses.com/pr_archive/022896.html (Updated July 14, 1997), 3 pgs.					
	AR3	"SES/workbench: Workbench Overview," http://www.ses.com/workbench.html (Updated: February 10, 1998), 3 pgs.					
	AS3	"SES/objectbench: Batch Execution," http://www.ses.com/Objectbench/sim_details_batch.html (Updated: April 6, 1998), 2 pgs.					
	AT3	"SES/objectbench: The Objectbench Animated Simulator," http://www.ses.com/Objectbench/simulator.html (Updated: April 15, 1998), 2 pgs.					
	AU3	"SES/objectbench," http://www.ses.com/Objectbench/index.html (Updated April 6, 1998), 2 pgs.					

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

KN	AV3	EETimes: Intel, Others Eye Performance Modeling Standard," http://www.techweb.com/se/directlink.cgi?EET19971103S0105 (November 3, 1997), 2 pgs.
	AW3	"Euroexpert: GATE™," Euroexpert SA, Antony, France (September 1, 1997), 2 pgs.
	AX3	"Euroexpert: Best Practices: Milan Stock Exchange," Euroexpert SA, Antony, Fanece (September 1, 1007), 2 pgs.
	AY3	"Euroexpert: Best Practices: France Telecom," Euroexpert SA, Antony, France (September 1, 1997), 2 pgs.
	AZ3	"Simulation modeling within workflow technology," Miller, et al., Proceedings of the 1995 Winter Simulation Conference, December 3-6, 1995.
	AR4	"Analyzing and re-engineering business process using simulation," Bhskar, et al., Proceeding of the 1994 Winter Simulation Conference, December 11-14, 1994.
	AS4	"BEST/1-Visualizer for AS/400, Workload Analysis," http://www.bgs.com/as400/Slid_5.html (Updated: Jan. 23, 1997), 2 pgs.
	AT4	Gunther, N., "The Practical Performance Analyst", <i>Performance-by-Design Techniques for Distributed Systems</i> , McGraw-Hill, Table of Contents only consisting of 9 pages, 1998.
	AU4	"Model Driver Architecture (MDA) Document number ormsc/2001-07-01", Architecture Board ORMSC, pp. 1-31, July, 2001.
	AV4	Grady, R., et al., "Software Metrics: Establishing A Company-Wide Program", Prentice-Hall, Inc., Table of Contents only, consisting of 5 pgs., 1987.
	AW4	Jacobson, I., et al., "Software Reuse", <i>Architecture Process and Organization for Business Success</i> , ACM Press, Table of Contents only, consisting of 9 pages, Addison-Wesley, 1997.
	AX4	Bontempo, et al., "Data Management Principles and Products", Prentice Hall, Inc., 1995, Table of Contents only consisting of 10 pages.
	AY4	Soley, R., et al., "Model Driven Architecture" <i>Object Management Group</i> , pp. 1-12 (2000, November 27).
	AZ4	Hutchins, G., "ISO 9000, A Comprehensive Guide to Registration, Audit Guidelines, and Successful Certification" (Oliver Wight Publications, Inc.) 1993, Table of Contents Only consisting of 5 pages.
↓	AR5	Lazowska, E., et al., "Quantitative System Performance: Computer System Analysis Using Queueing Network Models", Prentice-Hall, Inc., 1984, Table of Contents Only consisting of 8 pages.

EXAMINER

K. Mahalingam

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6/11/05

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October 26, 2001

GROUP
2123

June 26, 2002

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ASS

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Table of Contents Only consisting of 7 pages.

AT5

Sarris, D., et al., "The White Papers: Capacity Planning for e-Commerce Systems With Benchmark Factory™", http://www.quest.com/whitepapers/cap_plan_Ecomm.pdf, pp. 1-19. No date given.

AUS

Leymann, F., et al, "Production workflow: concepts and techniques", 2000, Table of Contents Only consisting of 14 pages.

AV5

"PYRAMID: Quantitative management: get a grip on software!" 100 pages, 1991.

AW5

Shaw, M., et al., "Software Architecture: *Perspectives on an Emerging Discipline*", Prentice Hall 1996, Table of Contents only consisting of 7 pages.

AX5

"EuroExpert GATE™ MODEL Product Description: A *predictive approach to efficient information systems*", pp 1-21, 1994.

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EXAMINER

DATE CONSIDERED

6/11/05

<p>PTO-1449 REPRODUCTION AUG 1 2 2002 PATENT & TRADEMARK OFFICE SUPPLEMENTAL INFORMATION DISCLOSURE CITATION IN AN APPLICATION August 1, 2002 (Use several sheets if necessary)</p>	<p>ATTORNEY DOCKET NO. 3023.1001-004</p>	<p>APPLICATION NO. 10/014,317</p>
<p>APPLICANT Nabil A. Abu El Ata</p>		<p>RECEIVED AUG 13 2002 Technology Center 2100</p>
<p>FILING DATE October 26, 2001</p>		<p>GROUP 2123</p>

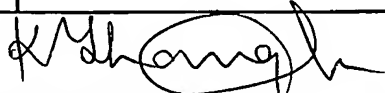
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EXAM- INER INI- TIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
KRM	AD3	6,393,386 B1	05-21-02	Zager, et al.	703	25

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KRM	AYS	Ata, N., "How we Avoided Murphy's Law at the Italian Stock Exchange", CMG 1997, consisting of 7 pages.				
	AZ5	Ata, N., "How Metrics and Models Made Architecture Real," presented at DCI Conference in Washington, D.C. in February, 2000 (slides 1-21).				
	AR6	Ata, N., "A Predictive Modeling Approach to Developing Complex Systems," presented at CSC Leading Edge Forum Conference on March 6, 2001 (slides 1-35).				
	AS6	Menasce, D., et al., "Capacity Planning and Performance Modeling", 1994, Prentice-Hall, ISBN 0-13-035494-5.				
	AT6	Agrawal, S., et al., "The aggregate server method for analyzing serialization delays in computer systems", ACM Transactions on Computer Systems, Vol. 1, Issue 2, pp. 116-143, May 1983, ISSN: 0734-2071.				
	AU6	Compaq Open VMS Documentation, "TCP/IP Networking on Open VMS Systems", Nov. 1996, URL: http://www.openvms.compaq.com:8000/ssb71/6436p.htm .				
	AV6	Altmann, M., "A list of software for computer simulations", Last updated, Nov. 11, 1996. URL: http://www.nmsr.labmed.umn.edu/~michael/dbase/outgoing/FAQ.html .				
	AW6	Keshav, S., "Real 5.0 Overview", Aug. 13, 1997, URL: http://www.cs.cornell.edu/skeshav/real/overview.html .				
	AX6	Keshav, S., "Real 5.0 User Manuel", Aug. 13, 1997, URL: http://www.cs.cornell.edu/skeshav/real/user.html .				
	AY6	Keshav, S., "Real 5.0 Programmer's Manual", Aug. 13, 1997, URL: http://www.cs.cornell.edu/skeshav/real/proq.html .				
	AZ6	Keshav, S., "Real 5.0 Changes", Aug. 13, 1997, URL: http://www.cs.cornell.edu/skeshav/real/changes.html .				
	AR7	Keshav, S., "Real 4.0 Programmer's Manual", Oct. 21, 1993, URL: http://minnie.cs.adfa.oz.au/REAL/proq.asc.gz .				
	AS7	Keshav, S., "Real 4.0 User's Manual", Oct. 21, 1993, URL: http://minnie.cs.adfa.oz.au/REAL/user.asc.gz .				
	AT7	Eureka Project E!636. URL: http://www3.eureka.be/Home/projectdb/PrjFormFrame.asp?pr_id=636 .				
	AU7	Choukri, T., "cv_choukri.htm", 1999. URL: http://www.global-coms.com/consultants/cv_choukri.htm .				

<p>EXAMINER </p>	<p>DATE CONSIDERED 6/11/05</p>
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PTO-1449 REPRODUCED AUG 1 2 2002 SUPPLEMENTAL INFORMATION DISCLOSURE CITATION AN APPLICATION August 1, 2002 (Use several sheets if necessary)		ATTORNEY DOCKET NO. 3023.1001-004	APPLICATION NO. 10/014,317
		APPLICANT Nabil A. Abu El Ata	RECEIVED AUG 13 2002 Technology Center 2100
		FILING DATE October 26, 2001	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
RAC AV7	US. Trademark Electronic Search System, search result for Trademark Serial Number 74555204. Nov. 27, 2001..		
AW7	Ata, N., "A Predictive Modeling Approach to Developing Complex Systems", CSC Leading Edge Forum, March 6, 2001, URL: http://www2.csc.com/lef/programs/forum/mar_01.htm .		
AX7	Ata, N., "System Performance Modeling", ITC Meeting Minutes, Dec. 2, 1999, URL: http://www.istis.unomaha.edu/itc/meetings/m991202.htm .		
AY7	Ata, N., "CMG97 Session Descriptions by Subject Area", CMG97 Orlando, The Computer Measurement Group's 1997 Int'l Conference, Dec. 7-12, 1997, URL: http://www.cmq.org/cmq97/cmq97sessions.html and http://www.cmq.org/cmq97/97Workload.pdf .		
EXAMINER			DATE CONSIDERED 6/11/05

APPLICATION NO.
10/014,317

FIRST NAMED INVENTOR
Nabil A. Abu el Ata

FILING DATE
10/26/2001

May 8, 2005

EXAMINER
Not assigned

CONFIRMATION NO.
5071

GROUP
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U.S. PATENT DOCUMENTS

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DATE CONSIDERED

APPLICATION NO.
10/014,317

FIRST NAMED INVENTOR
Nabil A. Abu el Ata

FILING DATE
10/26/2001

May 9, 2005

EXAMINER
Not assigned

CONFIRMATION NO.
5071

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AZ7

White, R.V., "An Introduction to Six Sigma with a Design Example," *Applied Power Electronics Conference and Exposition*, 1992. *Conference Proceedings 1992, Seventh Annual*, Febr. 23-27, 1992, pp. 28-35.

AA8

"EUROEXPERT--Best Practices: French Social Security - UNEDIC", <http://www.accretivetechologies.com/documents/UNEDIC.PDF>, EUROEXPERT and GATE (TM), 1992 Best Practices.

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